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PH TRADING LIMITED

PODDAR POINT, 'B' BLOCK, 10TH FLOOR,
113, PARK STREET, KOLKATA - 700 018
CIN No. : L51109WB1982PLC035011

Date : 10/10/2019

The Dy. General Manager &
Asst. General Manager,
Listing Compliance
Bombay Stock Exchange Ltd.,
25, P. J. Tower, 25th floor
Dalal Street
Mumbai- 400 001.

Dear Sir, .

We are sending herewith the quarterly report of Corporate Governance for the quarter ended 30/09/2019, duly signed by a Practising Company Secretary, Ranjan Singh, 322, Shastrinagar, Konnagar, P.O. Bara Bahera, Dist: Hooghly-712246, West Bengal.

Please find the same enclosed for your ready reference.

Thanking you,

Yours faithfully,
For PH Trading Ltd.,

For PH TRADING LTD.


Director

Encl: As above



Ref. No.: RS/2019-20/CG.Report/01
UDIN: A034691A000027673

Quarterly Compliance Report on Corporate Governance

Name of the Company: PH TRADING LIMITED
Quarter ending on: 30th September 2019

PARTICULARS	REGULATION OF SEBI (LODR) REGULATIONS, 2015	COMPLIANCE STATUS (YES/NO)	REMARKS
II. Board of Directors	17	Yes	
(A) Composition of Board	17 (1)(a)	Yes	The Board has an optimum composition of executive and non-executive directors
(B) Independent Directors	17(1)(b)	Yes	The Board has adequate number of Independent Directors
(C) Non-executive Directors' compensation & disclosures	17 (1)	Yes	Shall be disclosed in Annual Report
(D) Other provisions as to Board and	17	Yes	
(E) Code of Conduct	17 (5)	Yes	The Board has laid down the Code of Conduct as per information shared by Board
(F) Whistle Blower Policy	18	Yes	
III. Audit Committee	18		
(A) Qualified & Independent Audit Committee	18(1)	Yes	The Audit Committee is duly constituted.
(B) Meeting of Audit Committee	18(2)(a)	Yes	Adequate Meetings have been held during the quarter as per meetings provided.
(C) Powers of Audit Committee	18(2)(c)	Yes	The Audit Committee duly discharges its powers.
(D) Role of Audit Committee	Part-C of Schedule II	Yes	The Audit Committee performs the functions as assigned.

322, Shastrinagar, Konnagar, PO-Bara Bahera, Dist-Hooghly, PIN-712246, West Bengal
Mobile - 09831262788, e-Mail : esrajansingh2014@gmail.com

Rajan Singh
RAJAN SINGH
COMPANY SECRETARY
MEMBERSHIP NUMBER-A34691
CP NUMBER-13599



(E) Review of Information by Audit Committee	Part-C of Schedule II	Yes	The Audit Committee reviews the information as per the Regulations
IV. Nomination and Remuneration Committee	19	Yes	The Nomination and Remuneration Committee is duly constituted and complies with the provisions of the Regulation as per information provided.
V. Subsidiary Companies	24	No	The Company does not have a subsidiary as on the quarter ended September 30, 2019
VI. Risk Management	21	No	The Company is not required to comply with the Regulation as it does not fall within top 500 listed entities.
VII. Related Party Transactions	23	Yes	Related party transactions are duly disclosed in the quarterly reports or Annual reports as applicable.
VIII. Disclosures	Part C of Schedule V	Yes	
(A) Related party transactions	Part A of Schedule V	Yes	Related party transactions are duly disclosed in the quarterly reports or Annual reports as applicable.
(B) Disclosure of Accounting Treatment	Part B of Schedule V	Yes	
(C) Remuneration of Directors	17(6)	Yes	The Directors are paid remuneration within the limit and disclosed in Annual Report as per information provided
(D) Management	17	Yes	The Board has an optimum composition of executive, non-executive directors, women directors and independent directors as per information provided
(E) Shareholders	Part C of Schedule V	Yes	
(I) Proceeds from public issues, rights issue, preferential issues, etc		No	No shares were issued during the quarter



IX. CEO/CFO Certification	Part D of Schedule V	Yes	
X. Report on Corporate Governance	Part E of Schedule V	Yes	
XI. Compliance		Yes	

PARTICULARS	REGULATION OF COMPANIES ACT, 2013	COMPLIANCE STATUS	COMMENTS
Date: 04.10.2019 Place: Konnagar			
(A) Composition of Board	17(3)(a)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the composition of the Board.
(B) Independence of Directors	17(3)(b)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the independence of directors.
(C) Appointment & Removal of Directors	17(3)(c)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the appointment and removal of directors.
(D) Cash of Cash	17(3)(d)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the cash of cash.
(E) Remuneration Policy	17(3)(e)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the remuneration policy.
(F) Audit Committee	17(3)(f)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the audit committee.
(G) Risk Management & Internal Control Systems	17(3)(g)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the risk management and internal control systems.
(H) Whistleblower Policy	17(3)(h)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the whistleblower policy.
(I) Grievance Redressal Committee	17(3)(i)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the grievance redressal committee.
(J) Code of Conduct	17(3)(j)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of conduct.
(K) Code of Ethics	17(3)(k)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics.
(L) Code of Business Conduct	17(3)(l)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of business conduct.
(M) Code of Ethics & Business Conduct	17(3)(m)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(N) Code of Ethics & Business Conduct	17(3)(n)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(O) Code of Ethics & Business Conduct	17(3)(o)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(P) Code of Ethics & Business Conduct	17(3)(p)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(Q) Code of Ethics & Business Conduct	17(3)(q)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(R) Code of Ethics & Business Conduct	17(3)(r)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(S) Code of Ethics & Business Conduct	17(3)(s)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(T) Code of Ethics & Business Conduct	17(3)(t)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(U) Code of Ethics & Business Conduct	17(3)(u)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(V) Code of Ethics & Business Conduct	17(3)(v)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(W) Code of Ethics & Business Conduct	17(3)(w)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(X) Code of Ethics & Business Conduct	17(3)(x)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(Y) Code of Ethics & Business Conduct	17(3)(y)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.
(Z) Code of Ethics & Business Conduct	17(3)(z)	Yes	The Board has complied with the provisions of the Companies Act, 2013 regarding the code of ethics and business conduct.

Rajan Singh
Rajan Singh
Practising Company Secretary
MEMBERSHIP NUMBER: A34691
CP NUMBER: 13599
UDIN: A034691A000027673